

JC10 Rec'd PCT/PTO 18 APR 2005 *pet*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION

OF: KÖNEMANN ET

SERIAL No. 10/509,636

FILED: SEPTEMBER 29, 2004

FOR: NANOPARTICULATE ORGANIC UV ABSORBER



CONFIRMATION No.: 4288

GROUP ART UNIT: 1762

EXAMINER: TO BE ASSIGNED

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents and Trademarks, Alexandria, Va 22313-1450, on:

Date of Deposit

April 13, 2005

Person Making Deposit

SABINE BERG

Signature

S. Berg

Honorable Commissioner
for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This subject Information Disclosure Statement is submitted in connection with applicants' continuing duty of disclosure under 37 C.F.R. §1.56.

LISTING OF RELEVANT DOCUMENTS:

The relevant documents are listed in the attached Form PTO-1449.

REMARKS:

The listed documents (A) through (C), (AA) and (AB) were cited in the International Search Report. The listed documents (B), (AC) and (BA) are addressed in the application. An English language translation of the document (AC) is not readily available. However, an English language abstract of the contents of the reference is at-

tached to its copy. An additional explanation of its relevance is found on page 12, indicated lines 1 to 4, of the application.

CERTIFICATION UNDER 37 C.F.R. §1.97(E):

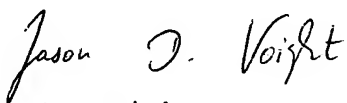
Since this Information Disclosure Statement is submitted prior to the issuance of a first action on the merits, a certification under 37 C.F.R. §1.97(e) is not required.

FEE UNDER 37 C.F.R. §1.17(P):

Since this Information Disclosure Statement is submitted prior to the issuance of a first action on the merits, a fee under 37 C.F.R. §1.17(p) is not required (37 C.F.R. §1.97(b)).

Please charge any shortage in fees due in connection with the filing of this paper, including Extension of Time fees, to Deposit Account No. 14.1437. Please credit any excess fees to such deposit account.

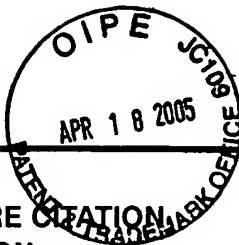
Respectfully submitted,
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Encl.: Form PTO-1449

JDV/BAS

Sheet: 1 of: 1

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(use several sheets if necessary)

DOCKET NUMBER:

PF 53414

APPLICATION NUMBER:

10/509,636

APPLICANT:

KÖNEMANN et al.

CONFIRMATION NUMBER:

4288

FILING DATE:

September 29, 2004

GROUP ART UNIT:

1762**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----------------|---------------------|--------------|-----------------------|---------------|-------------------------------|
| | A | US 3,681,297 | 08/72 | D'ALELIO | 260 | 72.5 |
| | B | US 3,230,196 | 01/66 | MOYER | 260 | 47 |
| | C | US 2,895,948 | 07/59 | BRINKER et al. | 260 | 78.4 |
| | D | | | | | |
| | E | | | | | |
| | F | | | | | |
| | G | | | | | |
| | H | | | | | |
| | I | | | | | |
| | J | | | | | |
| | K | | | | | |
| | L | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | NAME | TRANSLATION | |
|---------------------|-----------------|----------------------|--------------|----------------|--------------------|------------|
| | | | | | YES | NO |
| | AA | WO 02/28659 | 04/02 | WIPO | NOHR et al. | n/a |
| | AB | WO 02/28660 | 04/02 | WIPO | NOHR et al. | n/a |
| | AC | DE 197 26 121 | 12/98 | Germany | GERS-BARLAG | x |
| | AD | | | | | |
| | AE | | | | | |
| | AF | | | | | |
| | AG | | | | | |

OTHER DOCUMENTS

| EXAMINER INITIAL | AUTHOR | DOCUMENT |
|---------------------|-----------|---|
| | BA | HUNT et al. |
| | BB | "4-Hydroxyisophthalic Acid", J. Chem. Soc. 1956, pp. 3099-3107 |
| | BC | |
| | BD | |
| | BE | |
| | BF | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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